Senate Executive Committee Agenda November 6, 2015 at 2:00 PM in Lib 326

- 1. Update on potential replacement of "sub-minors" (concentrations, tracks, and specializations) with CUGS
- 2. Update on T&R
- 3. Proposals from Academic Policies and Procedures
 - a. Revisions to Attendance Policy (page 2)
 - b. Policy on the Electronic Submission of Coursework during Periods of University Closure (page 4)
 - c. Revisions to Academic Integrity Policy (page 5)
 - d. Interim Policy on Adjunct Credentials (page 19)
- 4. Statistics on students and faculty (separate file)
- 5. Issues with Department Heads
- 6. Committee on Rowan Global and Graduate Education
- 7. Revised Statement of Principles (page 20)

Attendance Policy - Faculty & Students Responsibilities

Because classroom experiences vary greatly among disciplines, deliveries and instructors, Rowan's community of learners is best realized when teachers and learners interact in ways deemed appropriate for any particular class. Thus, although what constitutes attendance can differ from course to course, the following applies to all courses:

Responsibilities of Students

- 1) Students are expected to be present at each meeting of each scheduled class for which they are officially registered. Students are responsible for knowing the instructor's attendance policy as stated in the syllabus.
- 2) Students absent for any of the following reasons:
 - Official University activities,
 - Documented illness.
 - Death of a family member or loved one,
 - Inclement weather,

must inform their instructor with official or written documentation before the fact in the case of official University activities, or as soon as possible thereafter in cases of illness, death of a family member or loved one, and inclement weather. Students should consult with their instructor regarding acceptable documentation.

- 3) Rowan respects the diversity of faiths and spiritual practices in the university community. Students who wish to observe religious holidays which occur when classes are scheduled must inform their instructors before the fact, and preferably within the first two weeks of each semester, even when the exact date of the holiday will not be known until later. Students who make such arrangements will not be required to attend classes or take examinations on the designated days, and faculty must provide reasonable opportunities for students to make up missed work and examinations.
- 4) In the case of rare and compelling circumstances not listed in #2 above, students should make every effort to discuss reasonable accommodations with the instructor in advance if feasible or as soon as possible afterward.

Responsibilities of Faculty

- 1) Faculty are expected to keep accurate attendance records.
- 2) Attendance requirements must be part of the syllabus provided to students prior to the end of the drop/add period.
- 3) In the case of #2 under Student Responsibilities, faculty must make reasonable accommodation to provide these students the opportunity to make up their written work, tests, or other assignments at the earliest possible convenient time. In cases

where graded classroom activities cannot be repeated and the student has not exceeded the maximum number of allowable absences (as explained below under #6), the faculty member will either provide an alternative graded exercise to replace the missed activity or remove the activity from the calculation of the student's final grade.

- 4) Faculty are under no obligation to make special provisions for students that are absent for reasons other than those listed above. However, faculty are encouraged to consider accommodations for rare and compelling circumstances.
- 5) If a student develops a pattern of excessive and/or unexplained absences, the faculty should advise the student to request assistance from the Dean of Students.
- 6) Faculty (singularly or as part of a department or program) may establish additional reasonable attendance criteria that are consistent with the above. This may include setting a maximum number of absences for a course---whether excused or unexcused---after which a student should withdraw from the class, in accordance with the university withdrawal policy. Students may apply for a hardship withdrawal if their absences were primarily excused and due to extenuating circumstances.

Revised 5-31-2012

Grove, Michael W. 10/6/2015 4:27 PM

Deleted: with a WF

Grove, Michael W. 10/6/2015 4:29 PM

Deleted: If the Dean of Students determines, in consultation with the faculty member, that excused absences were a significant factor, the withdrawal may be altered to a simple W.

Policy on the Electronic Submission of Coursework during Periods of University Closure

Faculty increasingly, but not exclusively, accept the submission of coursework via electronic media such as Blackboard, Canvas, e-mail, etc. Doing so has several clear advantages, including the ability of students to submit coursework remotely or during periods of University closure. Faculty members who intend to require students to meet work deadlines via electronic submission, even if such deadlines fall during periods of University closure, should state this expectation explicitly in their syllabi. An exception to this policy would be made for online courses offered through the Rowan Global and Learning Partnerships which have unique calendars and are not affected by University closures.

ROWAN UNIVERSITY POLICY

Title: Academic Integrity Policy

Subject: Academic Affairs
Policy No: AA: 2015:XX
Applies: University-Wide

Issuing Authority: Office of the Provost

Responsible Officer: Provost / Senior Vice President for Academic Affairs

Adopted: Amended:

Last Revision: 10/15/2015

I. PURPOSE

The purpose of the academic integrity policy is to provide students, faculty, and staff with guidelines about what behaviors violate academic integrity expectations, and the process for addressing academic integrity problems.

II. ACCOUNTABILITY

Under direction of the Provost, all University Deans shall implement this policy and all faculty shall ensure compliance with the policy.

III. APPLICABILITY

This policy applies to all students, faculty and staffs of Rowan University.

IV. DEFINITIONS

- A. Cheating: Cheating is an act of deception by which a person misrepresents his or her mastery of material on a test or other academic exercise.
- B. Plagiarism: Plagiarism occurs when a person represents someone else's words, ideas, phrases, sentences, or data as one's own work. When submitting work that includes someone else's words, ideas, syntax, data or organizational patterns, the source of that information must be acknowledged through complete, accurate and specific references. All verbatim statements must be acknowledged through quotation marks and properly cited. To avoid a charge of plagiarism, a person should be sure to include an acknowledgment of indebtedness, such as a list of works cited or bibliography.

- C. Fabrication: Fabrication refers to the deliberate use of invented information or the falsification of research or other findings with the intent to deceive.
- D. Academic Misconduct: Academic Misconduct includes the alteration of grades; involvement in the acquisition or distribution of unadministered tests; and the unauthorized submission of student work in more than one class.

V. POLICY

- A. The integrity of academic programs is imperative to Rowan University's mission. While acknowledging the social and collaborative nature of learning, the University expects that grades awarded to students will reflect individual efforts and achievements.
- B. All members of the Rowan community are responsible for understanding what constitutes academic dishonesty; upholding academic integrity standards and encouraging others to do likewise; and knowing the procedures, rights and obligations involved in the Academic Integrity Policy. Academic dishonesty, in any form, will not be tolerated. Students who commit an act of academic dishonesty are subject to disciplinary sanctions up to and including expulsion from the university.
- C. Violations of academic integrity are classified into four categories based on the seriousness of the behaviors and the possible sanctions imposed.
 - A Level 1 violation may occur because of ignorance or inexperience on the part of the person(s) committing the violation and ordinarily include a very minor portion of the course work. A sanction for a level 1 violation will not exceed a failing grade on the assignment.
 - Level 2 violations involve incidents of a more serious nature and affect a significant
 aspect or portion of the course. A second Level 1 violation will automatically become
 a Level 2 violation. A sanction for a Level 2 violation will not exceed a failing grade in
 the course
 - 3. Level 3 offenses are even more serious in nature and involve dishonesty on a more significant portion of course work, such as a major paper, hourly or final examination. If a student had previously been found responsible either of one or more violations at Level 2 or higher, or of two Level 1 violations, an additional violation at any level will automatically become at least a Level 3 violation. A sanction for a level 3 violation will not exceed suspension from the University.
 - 4. Level 4 violations are the most serious breaches of academic integrity. They also include repeat offenses below Level 4 violations and violations committed while already on or after returning from Academic Integrity Probation.
- D. Reporting And Adjudication Of Academic Integrity Violations
 - A student or University employee who has witnessed an apparent act of academic misconduct or has information that reasonably leads to the conclusion that such an act has or will occur should inform the instructor or the Office of the Provost.

- An instructor who believes that a student has attempted or committed an apparent act of academic misconduct should investigate the matter. Instructors are encouraged to consult with staff in the Office of the Provost.
- 3. Role of Instructor
 - a. If the instructor then concludes that misconduct has occurred, he or she should obtain a copy of the Report of an Academic Integrity Violation (RAIV) form from the web, the departmental office, or the Office of the Provost. The instructor should complete as much of the RAIV form as possible prior to meeting with the student, including the appropriate type of violation/level.
 - b. The instructor should make reasonable attempts to meet with the student in question as soon as possible. When necessary, such meetings may be conducted by telephone or electronic mail. In this meeting every effort should be made to preserve the basic teacher/student relationship. The student should be given the opportunity to respond to the allegation and to review and sign the RAIV if he/she so chooses. The student's signature signifies that he/she is aware of the alleged violation and understands where information on next steps in the procedure can be found. The student should be allowed to remain in class and complete course work until a final resolution is reached.
 - c. For Level 1 and Level 2 violations, the instructor should indicate sanctions on the RAIV before the student signs the acknowledgement section of the RAIV form. (Instructors do not recommend sanctions for Level 3 and 4 violations.) Instructors may recommend sanctions up to and including a failing grade for the course depending on the level of violation. Students should not sign the form if they have additional questions or want to consult staff in the Office of the Provost.
 - d. At the conclusion of the meeting the instructor must provide the student with a copy of the RAIV form, whether the student signed the form or not. The instructor should then forward the form and all supporting documentation to the Office of the Provost.
 - Level 1 violations: The instructor will make the determination on whether a violation has occurred and on the penalty. Appeals go directly to the Office of the Provost and will be heard by the Academic Integrity Review Board.
 - Level 2 violations: The instructor will make the determination of whether a violation has occurred and on the penalty. Appeals go directly to the Office of the Provost and will be heard by the Academic Integrity Review Board.
 - iii. Level 3 and 4 violations: The Office of the Provost will refer the matter to the Academic Integrity Review Board for adjudication at a hearing. The Board will issue a finding and recommendation to the Office of the Provost, which will make the final irrevocable decisions on both rulings and appeals.
 - e. Additional sanctions, including suspension or expulsion from the university, may be recommended when requested by the instructor, requested by the academic unit in which the violation occurred, or when stipulated by the academic integrity policy (i.e. the level of the violation or the existence of previous academic integrity violations by the student).
 - f. In the case that an instructor must assign a grade before the case is resolved, the instructor should assign a grade of "INC," which will be changed when the case is resolved.

E. Academic Integrity Review Board

- The Academic Integrity Review Board may be convened for adjudication of an allegation of academic dishonesty.
- The Academic Integrity Review Board is chaired by the Associate Provost for Academic Affairs. The Chair shall be a participating but nonvoting member of the Board
- 3. The Board is composed of six regular members.
 - A minimum of two student members from a pool of between 7 and 14 students who are appointed by the Student Government Association. Student members must be matriculated and in good standing with the University.
 - Two members of the faculty who are appointed by the University Senate President.
 - Two members of the administration who are appointed by the Office of the Provost.
 - Two alternates from each category will also be appointed.
- 4. When convening the Academic Integrity Review Board for adjudication of an allegation of academic dishonesty, a quorum of four members, including at least one student, one faculty member and one administrator, must be present.

F. Rights in Hearings

- The University disciplinary system is not a criminal or civil law process and the legal procedures applicable in criminal and civil cases will not apply. This policy is not intended to supersede any existing law or regulation.
- 2. University disciplinary hearings will accord the following specific rights to all students:
 - a. To receive written notice of the alleged violation.
 - b. To have reasonable access to the case materials prior to and during any hearing.
 - c. To have access to advice by an individual of his or her choosing, including an attorney. However, the advisor may not participate in the hearing. The student must sign a FERPA waiver indicating he/she has consented to have the individual present.
 - d. To participate in the hearing, present information on his or her own behalf, call witnesses and question information provided at their hearing. This does not include the right to directly question witnesses.
 - e. To receive written notification of the decision reached and a list of any sanctions imposed.

G. Description of Sanctions

- A student may receive a single or multiple sanctions for violations of the Academic Integrity policy. Factors to be considered in deciding sanctions will include present demeanor and past disciplinary record of the student and the nature and severity of the violation.
- 2. Sanctions which may be imposed upon a student found responsible for a Level 3 or Level 4 include the following:

- a. Notation of Academic Integrity Violation on Transcript: When a student fails a course because of a Level 3 or Level 4 violation, this will be noted on the student's transcript. The notation can be removed from the transcript at the student's request provided the student has had no further academic integrity violations for one calendar year (365) days. The student can have a maximum of one such notation removed in his/her career as a Rowan student.
- b. Academic Integrity Probation: Academic integrity probation is a period of one calendar year (365 days) indicating that a student is no longer in good standing with the university vis-à-vis academic integrity because of a Level 3 or Level 4 violation. (This status is distinct from Academic Probation, which concerns academic performance.) Any subsequent Academic Integrity Violation while in this status will likely result in suspension or expulsion from the university.
- c. Suspension: Beginning on the date the suspension takes effect, the student is no longer a registered student, may not attend classes, nor receive grades for a specified period of time. In addition, while in this status, the student is not permitted to be present on the campus or at a University-sponsored event for any reason whatsoever. The suspension will be noted on the student's academic transcript as disciplinary suspension. The student is not entitled to any refund of any fees after published refund dates.
- d. Expulsion: Beginning on the date the expulsion takes effect, the student may never again be a registered student, may never attend classes, nor receive grades. In addition, the student may never be present on the campus nor at a University-sponsored event for any reason whatsoever. The expulsion will be noted on the student's academic transcript as Academic Integrity Expulsion. The student is not entitled to any refund of any fees after published refund dates.

VI. ATTACHMENTS

- A. Attachment 1, Definition Examples
- B. Attachment 2, Classification of Academic Integrity Violations by Offense
- C. Attachment 3, Academic Integrity Review Board Procedures
- D. Attachment 4, Academic Integrity Flow Chart for Instructors
- E. Attachment 5, Report of an Academic Integrity Violation (RAIV)

ATTACHMENT 1 DEFINITION EXAMPLES

A. Cheating

Examples of cheating include but are not limited to:

- · Copying from another person's work.
- · Allowing another person to copy your work.
- Using unauthorized materials such as a textbook or notebook during an examination or using technology to illicitly access unauthorized materials.
- Using specifically prepared materials such as notes written on clothing or other unauthorized notes, formula lists, etc., during an examination.
- Collaborating with another person during an examination by giving or receiving information without permission.

B. Plagiarism

Examples of plagiarism include but are not limited to:

- Quoting, paraphrasing or even borrowing the syntax of another's words without acknowledging the source.
- Using another's ideas, opinions or theories even if they have been completely paraphrased in one's own words without acknowledging the source.
- Incorporating facts, statistics or other illustrative material taken from a source, without acknowledging the source, unless the information is common knowledge.
- Submitting a computer program as original work that duplicates, in whole or in part, without citation, the work of another.

C. Fabrication

Examples of fabrication include but are not limited to:

- · Citation of information not taken from the source indicated.
- · Listing of sources in a bibliography or other report not used in that project.
- Fabricating data or source information in experiments, research project or other academic exercises.
- Misrepresenting oneself or providing misleading and false information in an attempt to access another user's computer account.

D. Academic Misconduct

Examples of academic misconduct include but are not limited to:

- Intentional deceptive action to gain an academic advantage.
- Submitting written work to fulfill the requirements of more than one course without the explicit permission of both instructors.
- Changing, altering, falsifying or being accessory to the changing, altering or falsifying of a grade report or form, or entering any university office, building or accessing a computer for that purpose.
- Stealing, buying, selling, giving away or otherwise obtaining all or part of any
 unadministered test/examination or entering any university office or building for the
 purpose of obtaining an unadministered test/examination.
- Coercing any other person to obtain an unadministered test.

- Substituting for another student or permitting any other person to substitute for oneself to take a test or examination.
- Altering test answers and then claiming instructor inappropriately graded the examination.
- Violating the Network and Computer Use Policy, also known as the "Acceptable Use Policy, Network and System Services" established by Information Resources.
 Currently available at: http://www.rowan.edu/toolbox/policies/network/.
 - Below are some examples of violations listed in the policy. Students should refer to the policy for the full list of violations.
 - Each user is solely responsible for all functions performed from his/her account(s) on any system.
 - No user may violate Federal Copyright Law. This means he/she may not alter, copy, translate, transmit, or receive software, music, images, text, or any other information licensed to or copyrighted by another party unless the license or copyright explicitly permits he/she to do so.
 - No user may attempt to monitor another individual's data communications, nor may he/she read, copy, change, or delete another individual's files or software, without the prior permission of the
 - No user may send messages that are likely to result in the loss of the recipient's work, system downtime, or otherwise compromise a remote user's system. This includes, but is not limited to, redistribution of computer viruses or trojan horses.

ATTACHMENT 2 CLASSIFICATION OF ACADEMIC INTEGRITY VIOLATIONS BY OFFENSE

Violations of academic integrity are classified into four categories based on the seriousness of the behaviors and the possible sanctions imposed. Brief descriptions are provided below. These are general descriptions and should not be considered as all inclusive.

A. Level 1 Violations

- Level 1 violations may occur because of ignorance or inexperience on the part of the person(s) committing the violation and ordinarily include a very minor portion of the course work. A sanction for a level 1 violation will not exceed a failing grade on the assignment.
- 2. Example: Improper footnoting or unauthorized assistance with academic work on the part of a first-year Rowan University student.
- 3. Recommended Sanction(s): Make-up assignment at a more difficult level or assignment of no-credit for work in question, required attendance at an Academic Integrity Seminar, and/or an assignment that will increase the student's awareness of academic integrity.
- 4. Reporting Mechanisms: Matters involving Level 1 violations are normally adjudicated by the instructor and sanctioned accordingly. A record of this violation will be retained in the Office of the Provost in accordance with State record retention guidelines. The student can appeal the determination in accordance with policy.

B. Level 2 Violations

- Level 2 violations involve incidents of a more serious nature and affect a significant aspect or portion of the course. A second Level 1 violation will automatically become a Level 2 violation. A sanction for a Level 2 violation will not exceed a failing grade in the course.
- Example: Quoting directly or paraphrasing without proper acknowledgment on an assignment or failing to acknowledge all sources of information and contributors who helped with an assignment.
- 3. Recommended Sanction(s): A failing grade in the course, Academic Integrity Probation and/or the imposition of other lesser sanctions as deemed appropriate.
- 4. Reporting Mechanisms: Matters involving Level 2 violations are normally adjudicated by the instructor and sanctioned accordingly. A record of this violation will be retained in the Office of the Provost in accordance with State record retention guidelines. The student can appeal the determination in accordance with policy.

C. Level 3 Violations

- 1. Level 3 offenses are even more serious in nature and involve dishonesty on a more significant portion of course work, such as a major paper, hourly or final examination. If a student had previously been found guilty either of one or more violations at Level 2 or higher, or of two Level 1 violations, an additional violation at any level will automatically become at least a Level 3 violation. A sanction for a level 3 violation will not exceed suspension from the University.
- Example: Copying from or giving assistance to others on an hourly or final examination, plagiarizing major portions of an assignment, using forbidden material on an hourly or final examination, presenting the work of another as one's own, or altering a graded examination for the purposes of re-grading.

- Recommended Sanction(s): A failing grade in the course, Academic Integrity Probation, and suspension from the University for one or more semesters with a notation of "Disciplinary Suspension" placed on a student's transcript and/or the imposition of other lesser sanctions as deemed appropriate.
- 4. Reporting Mechanisms: Matters involving Level 3 violations are adjudicated by the Academic Integrity Review Board. A record of this violation will be retained in the Office of the Provost in accordance with State record retention guidelines.

D. Level 4 Violations

- Level 4 violations are the most serious breaches of academic integrity. They also include repeat offenses below Level 4 violations and violations committed while already on or after returning from Academic Integrity Probation.
- Example: Forgery of grade change forms; theft of examinations; having a substitute take
 an examination; any degree of falsification or plagiarism relating to a senior or graduate
 thesis; using a purchased term paper; sabotaging another's work; the violation of the
 clinical code of a profession.
- 3. Recommended sanction: Expulsion from the University and a permanent dismissal notation on the student's transcript and/or the imposition of other lesser sanctions as deemed appropriate.
- 4. Reporting Mechanisms: Matters involving Level 4 violations are adjudicated by the Academic Integrity Review Board. A record of this violation will be retained in the Office of the Provost in accordance with State record retention guidelines.

ATTACHMENT 3 ACADEMIC INTEGRITY REVIEW BOARD PROCEDURES

A. Overview of Hearing Process

- Level 1 and 2 violations are adjudicated by the instructor and reported to the Office of the Provost. The Office of the Provost determines whether the student has committed a prior violation and adjusts the level of violation accordingly. The Academic Integrity Review Board annually reviews reports of Level 1 and 2 violations to confirm that classifications of violations and subsequent sanctions that were imposed were appropriate. Level 3 and 4 violations are referred directly to and adjudicated by the Academic Integrity Review Board.
- The possible findings and outcomes of hearings conducted by the Academic Integrity Review Board are summarized below. Academic Integrity Violation is abbreviated as AIV.

3. Appeal of Level 1 Violation

| Possible Findings | Outcomes |
|---|---|
| | Student is cleared. Grade must be recalculated without the penalty for the alleged violation. |
| Student is responsible for a Level 1 violation. | Level 1 sanctions are upheld. |

4. Appeal of Level 2 Violation

| Possible Findings | Outcomes |
|---|--|
| Student is not responsible for an AIV. | Student is cleared. Course grade must be recalculated without the penalty for the alleged violation. |
| | Student is responsible for a lesser offense. Penalty is lowered as appropriate and course grade is recalculated. |
| Student is responsible for a Level 2 violation. | Level 2 sanctions are upheld. |

5. Level 3 and Level 4 Sanction Hearings

| Possible Findings | Outcomes |
|--|--|
| Student is not responsible for an AIV. | Student is cleared. Grade is recalculated without the penalty for the alleged violation and entered to replace the Incomplete. |
| Student is responsible for a lesser | Student is responsible for a lesser offense. Penalty is determined as appropriate. Course grade is recalculated and entered to replace the Incomplete. |

| · | Level 3 sanctions are recommended as appropriate. |
|---|---|
| • | Level 4 sanctions are recommended as appropriate. |

B. Additional Procedural Guidelines

- For matters not being adjudicated by the Academic Integrity Review Board (Levels 1 and 2), the Office of the Provost will conduct a review to determine whether the student has any prior violation and then determine appropriate additional procedures.
- 2. When applicable the Office of the Provost will be responsible for providing both the student and the instructor with proper notice concerning their participation in a hearing before the Academic Integrity Review Board. In addition, notice of the results of hearings will be provided. In the event that either the student or the instructor does not attend a scheduled hearing, the matter will be heard based on the written record and the information provided by the party in attendance.
- Hearings conducted by the Academic Integrity Review Board will be closed to all
 members of the campus and outside community except those directly involved with the
 case
- 4. The burden of proof rests upon the complainant, who must establish, on the basis of the standard of a "preponderance of evidence," that it was "more likely than not" that the accused student is responsible for the conduct violation based on the weight of the credible information presented.
- 5. Any student appearing at a hearing before the Academic Integrity Review Board for adjudication of an allegation of academic dishonesty may challenge the assignment of any member of the board to his/her case. Upon hearing the details of the challenge, the Chair will either uphold or deny the challenge.
- 6. A Board member will withdraw from adjudicating any case in which he/she cannot reach a fair and objective decision.
- 7. Because legal procedures will not be formally applied, the Chair will make all determinations on questions of procedure and admissibility of information presented and will not be excluded from hearings or Board deliberations except that s/he will not vote. The Chair will exercise control over the manner in which the hearing is conducted to avoid unnecessarily lengthy hearings and to prevent the harassment or intimidation of witnesses. Anyone who disrupts a hearing or who fails to adhere to hearing procedures may be excluded from the proceeding.
- 8. The accused student may submit a written statement to the Board prior to the hearing. Submission of such a statement is not a substitute for participation in the hearing. The student may also provide, in advance or during the hearing, additional documentation that is directly relevant to the case.
- 9. With advance approval from the Academic Integrity Hearing Board, the accused student is allowed to call witnesses to present testimony that is directly relevant to the case. Character witnesses are not permitted. The student must sign a FERPA waiver indicating consent to have the witness present. The witness will be called into the hearing only to present testimony and to be questioned by the Board. The student may not address the witness or the Board while the witness is present. If the witness is a Rowan University student, no immunity is implied; any information provided may be used in subsequent hearings. The witness will be informed that he/she cannot be compelled to appear, stay

- at the hearing, or give any testimony if unwilling. The witness will sign a statement to that effect
- 10. The Board will review all materials and hear all information pertinent to the case from the complainant, the accused and all witnesses. Members of the Board, including the Chair, will be free to ask relevant questions in order to clarify information or resulting issues.
- 11. After hearing all the information, the Board will deliberate privately until a decision is reached by a majority vote. A tie vote will result in a finding of "not responsible."
- If the student is found "responsible" the Board will recommend the appropriate sanctions to be imposed.
- 13. Cases heard by the Academic Integrity Review Board that result in a finding that the student is responsible for an academic integrity violation are automatically appealed to the Provost, who reviews the case and findings and provides a final decision. This will be the final step in the adjudication process.
- 14. Following the hearing, the Office of the Provost will provide the accused student with written notification of the decision reached and a list of any sanctions imposed. If the student is found "responsible," a record of the decision will be placed in the student's advising folder.

ATTACHMENT 4 ACADEMIC INTEGRITY FLOW CHART FOR INSTRUCTORS

Rowan University Academic Integrity Flow Chart for Instructors - $\underline{\text{AcademicIntegrityFlowchart 2009.pdf}}$

ATTACHMENT 5 REPORT OF AN ACADEMIC INTEGRITY VIOLATION (RAIV)

Rowan University Report of an Academic Integrity Violation (RAIV) - RAIV_Elec_Form.docx

Interim Policy on Adjunct Credentials

Rowan University expects that adjunct professors will hold at least a Master's Degree in a related field to the subject matter that they are teaching. However, the administration recognizes that in some specific courses, practitioners who hold a Bachelor's Degree and possess substantial professional experience in the field may be appropriate choices for adjunct positions. In such cases, specific approval from the Dean and Provost are required prior to making an offer of employment. Moving forward, we would not anticipate hiring adjunct professors who do not possess at least a Bachelor's Degree, though the administration reserves the right to waive this requirement in exceptional circumstances in which a prospective adjunct has achieved national renown in his or her field.

For employees who have worked in non-tenure track teaching capacities for Rowan University for at least ten years and have demonstrated their proficiency in the classroom, we will accept either an Associate's Degree or 90+ credits of progress towards a Bachelor's Degree as a suitable credential for continued employment, subject to approval by the Dean and Provost.



Rowan University Policy

Title: Statement of Principles

Subject: University Community

Policy No: 2015:XX

Applies: University-wide

Issuing Authority: Board of Trustees

Responsible Authority: President

Adopted: XX/XX/2015

Last Revision:

Last Reviewed:

I. PURPOSE

To articulate in one place the principles to which all parts of the University - its trustees, administration, faculty, staff, students, employees, volunteers, and contractors - should aspire in their daily interactions. The Statement of Principles expresses our shared commitment to integrity in all of our actions while pursuing the University's mission - excellence in teaching, patient care, research, and public service through ethical conduct in the discharge of one's duties, responsibilities, and all other University activities. All members of the University community owe it to each other to adhere to these principles as well as applicable laws, regulations, and University policies. This Statement does not address every possible situation; rather, it expresses in one place the core principles that we expect will guide the conduct of every member of the University Community.

II. ACCOUNTABILITY

Under the direction of the Chairperson of the Board of Trustees, the President, Provost, Executive Vice President for Administration and Strategic Advancement, Ethics Liaison Officer, Chief Compliance and Privacy Officer, and General Counsel, shall implement and encourage support for the Statement of Principles.

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III. APPLICABILITY

These principles are intended to be observed by all members of the Board of Trustees, Officers (President and Vice Presidents), Deans, Academic Department Heads and Chairs, Faculty, Staff, Student Employees, and Volunteers (collectively, "University personnel") and to any contractor performing work or services on any Rowan campus or in any Rowan facility. For the purpose of this Statement, the term "University" applies to Rowan University and all of its campuses, locations and operations.

Whenever a question arises concerning the relevance of this Statement for a particular action or situation, the advice of a direct supervisor, the General Counsel, Ethics Liaison Officer, and/or Chief Compliance and Privacy Officer should be sought as appropriate.

IV. REFERENCES

The following policies provide additional and related information:

- Rowan Core Values
- Code of Ethics Faculty/Professional Staff Rowan University
- Reporting Compliance & Ethics Concerns
- Family Educational Rights and Privacy Act (FERPA)
- Rowan University Contracting & Purchasing Policy & Procedure
- Rowan Excluded Individuals & Entities
- RowanSOM Responding to Anti-Kickback Violations with Potential Implications
- Accounting and Disclosure of Health Information
- Access to Individual Protected Health Information (PHI)
- CMSRU By-Laws
- Rowan SOM By-Laws
- New Jersey State Policy Prohibiting Discrimination
- Rowan University Workplace Violence Policy
- Board of Trustees By-Laws

V. POLICY

A. Basic Tenets:

The core values of Rowan are: Quality Education, Student Centeredness, Leadership, Engagement, Accountability, Team Work, Customer Focus, Inclusiveness, and Entrepreneurship. Accordingly, all parts of the University community call on each of us to do our utmost to support, protect and defend that environment. In particular, the University community encourages support for the following basic tenets:

- Commitment to the Student
- Respect for Academic Freedom
- Respect for Educational Mission
- Respect for Research Mission
- Individual Integrity, Responsibility, and Accountability
- Respect for Others and Preservation of a Respectful Workplace
- Respect for University and State Resources
- Compliance with University, Federal and State Laws, Rules, Policies, and Regulations
- Compliance with New Jersey Conflict of Interest Laws and State Ethics Code
- Protection of Confidentiality and Privacy of Records

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- Accuracy in Reporting
- Adherence to Internal Controls
- Fair Dealings in Agreements
- Protection from Retaliation

Commitment to the Student – University personnel will encourage free pursuit of learning while respecting both the students' rights to form their own views, and the diversity of cultures, backgrounds, and perspectives of our students. University personnel will deal justly and considerately with each student. University personnel will avoid exploitation of students for private advantage while respecting the confidential nature of the relationship between the professor/staff member and student. University personnel will provide recognition and acknowledgement of significant contributions by students. When necessary, University personnel will share information about students' educational needs with appropriate individuals within the parameters of applicable privacy laws and policies.

Respect for Academic Freedom – The free exchange of ideas on campus is essential to quality education and research. To achieve its potential, the University must provide academic freedom and a 'safe haven' to all, allowing inquiry and ensuring spaces where students and scholars can create new knowledge and challenge conventional wisdom in any field—art, science, religion, politics and others—without fear of reprisal.

Respect for Educational Mission – The University must remain committed to providing high quality academic services in accordance with all laws, regulations, program requirements and academic accreditation standards, and to the accurate representation of credentials and requirements for admission and graduation.

Respect for Research Mission – Affiliated researchers shall conduct their research with integrity, intellectual honesty, and appropriate respect for human and animal subjects. All research involving human subjects is subject to approval by an Institutional Review Board. All research involving non-human vertebrate animal subjects must be approved by the Institutional Animal Care and Use Committees. All such research shall remain in compliance with all conditions imposed by the respective committee. Research must be conducted for the advancement of knowledge while meeting the highest standards of honesty, accuracy, and objectivity. Researchers are obligated to demonstrate accountability for the funds of sponsors and payers while remaining in total compliance with specific terms and conditions of contracts and grants.

Individual Integrity, Responsibility and Accountability – The relationship between students and University personnel shall reflect an environment that focuses on education, professionalism, integrity, honor, and ethical conduct. To that end, the University will not permit behavior that improperly interferes with the learning environment, including harassment, discrimination and violence, including but not limited to arrangements having real or perceived power (e.g., faculty/coach-student, supervisor-supervisee, healthcare provider/patient). With respect to confidentiality, University personnel must follow all rules and regulations as outlined in the Family Educational Rights and Privacy Act (FERPA).

Respect for Others and Preservation of a Respectful Workplace – The University is committed to maintaining an environment of respect and inclusivity. Our community must and will have zero tolerance for illegal discrimination. All University personnel should adhere to the basic principles of honesty, professionalism, integrity, and ethical standards, and must adhere to the maintenance of a workplace devoid of hostility and harassment.

Respect for University and State Resources – University personnel shall use all university equipment and facilities efficiently, economically, and for authorized university purposes only in accordance with University policy and State law.

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Deleted: Violation and/or suspicion of violation of the latter can and must be reported utilizing appropriate channels and without the fear of retaliation

Compliance with University, Federal and State Laws, Rules, Policies and Regulations – All University personnel should do their best to act in compliance with all applicable federal and state laws, rules, and regulations as well as University policies, procedures, agreements, and quidelines.

Compliance with New Jersey Conflict of Interest Laws and State Ethics Code – All University personnel must comply with the State of New Jersey Conflict of Interest Laws, State of New Jersey Ethics Guidelines, and University policies to avoid actual or perceived conflicts (including their personal and/or financial interests) and, in situations where they might arise or do exist, to disclose them immediately and to participate no further in them unless and until approved by the appropriate Rowan New Jersey Ethics Liaison Officer or his/her designee.

<u>Protection of Confidentiality and Privacy of Records</u> – University personnel with access to confidential information, including but not limited to Protected Health Information under the Health Insurance Portability and Accountability Act (HIPAA) as well as confidential student information under the Family Educational Rights and Privacy Act (FERPA), shall maintain the confidentiality and privacy of that information to protect all parties, to include written and oral communication

Accuracy of Reporting – All University records, including student records, accounting and financial records, expense reports, medical records, time sheets and effort reports, admission, retention, and graduation data, and other documents, including any submitted to or subject to possible review by government agencies, must be accurate, clear, and complete in accordance with generally acceptable accounting principles, government entities, bond covenant agreements, and other requirements.

Adherence to Internal Controls – Internal control comprises the plans, methods, and procedures used to meet the University's mission, goals, and objectives. To this end, all business units or department heads are specifically responsible for ensuring that internal controls are established, properly documented, and maintained for activities within their jurisdiction and followed. Any person entrusted with funds, including principal investigators, is responsible for ensuring that adequate internal controls exist over the use and accountability of such funds.

<u>Fair Dealings in Agreements</u>— University policies governing procurement, including public bidding requirements and New Jersey laws where applicable, must be followed insofar as vendors, potential vendors, excluded individuals or companies when obtaining goods and/or services. At all times federal and state laws must be followed relating to anti-referral and anti-kickback arrangements while adhering to the highest ethical standards in regards to business arrangements for selection, negotiation, determination of awards, and the administration of all purchasing activities. Persons affiliated with the University have an obligation to be honest and consistent in all marketing and advertising practices, must adhere to fair business practices, and must honor the spirit as well as the specific language of these laws.

<u>Protection from Retaliation</u> – People who make reports of suspected violations of this Statement, or of any policy, regulation, rule, or law, in good faith and in the ways expected of them, are acting to protect our special community. As such, we all owe them the commitment

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that we will not punish them in any way for doing so, and will comply with all policies protecting them from retaliation.

B. Tenets Specific to RowanSOM and CMSRU

In addition to the tenets expressed above, we also are committed to these tenets in the context of providing health care:

- Respect for Clinical Mission
- Compliance with all State and Federal Healthcare Program Statutes, Regulations, directives, and guidelines

Respect for Clinical Mission – The RowanSOM and CMSRU Clinical Communities must remain committed to providing high quality health care in a manner that is appropriate, medically necessary, and efficient, in accordance with current medical and ethical standards to include the obligation to provide medical screening exams or emergency care consistent with all applicable laws while displaying total respect for patient rights regarding choice of care and/or refusal of treatment.

<u>Full Compliance with all state and federal healthcare program statutes, regulations, directives and guidelines</u> – Federal and State health care programs, Medicare, and Medicaid require RowanSOM and CMSRU to comply with all applicable statutes, regulations, directives, and guidelines. This also includes the rules of Medicare fiscal intermediaries or carriers, RowanSOM and CMSRU policies and procedures, and any agreements that RowanSOM and CMSRU may enter into with state or federal organizations or regulatory agencies. Persons affiliated with RowanSOM and CMSRU may be required to attest to their compliance with federal and state rules and regulations as failure to fully comply could jeopardize participation in said healthcare programs. RowanSOM and CMSRU will not conduct business with any individual or entity currently excluded by the Office of Inspector General (OIG) and/or General Service Administration (GSA) and, to this end, persons affiliated with RowanSOM and who perform healthcare services (including administrative services) are subject to periodic background checks.

C. The University community shall always strive to create and sustain a culture in which ethical conduct is recognized, respected, and promoted on all levels. University core values include a commitment to diversity, personal mentorship, professionalism, collaboration and mutual respect, civic responsibility, patient advocacy, and life-long learning.

Note: The foregoing Statement of Principles is expressly intended to be aspirational. It is not intended to be enforceable by the University through any adverse personnel action.