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| **ROWAN UNIVERSITY**  **Export Control Checklist** |

Federal Export Guidelines and the Rowan University (RU) policy emphasize that as a research institution and a U.S. institute of higher education, most research, teaching, or service at the Institution will appropriately fall under the fundamental research exclusion, the publicly available/public domain exclusion, the education exclusion or a combination of the three. Given the nature of an academic institution with the breadth and depth of research, services and education, there is no one definitive area or a specific defense trade function in which RU may be involved. However, the typical project subject to export controls will either be a contract with a private entity or the Federal Government or consist of a subcontract from another party that is flowing Federal funds to Rowan University.

Please use below checklist to determine whether or not Export Control Regulations apply to your project.

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| **Export Control Checklist** | |
| This proposal originates in the high-risk areas of Engineering, Physics,  Chemistry, Space sciences, Computer Sciences, Microbiology? | Yes  No |
| Research involves the use of information, items or technology subject to the licensing provisions of the International Traffic in Arms (ITAR) regulations 15 CFR §§ 120-130 or the Export Administration Regulations (EAR) 22 CFR §§ 730-774 (e.g., information marked as export restricted received from outside the University). | Yes  No |
| This proposal involves materials processing, electronics, computers and source code for encrypted software, telecommunications and information security, lasers and sensors, chemicals, and microorganisms. (EAR) | Yes  No |
| Research involves the transfer of project information, equipment, materials or financial support out of the U.S. (e.g., sending project deliverables or providing funding via a subcontract). | Yes  No |
| This proposal contains words like “development” or “fabrication”. Involve collaboration with foreign nationals from or travel to an embargoed or high-risk country? (See sanctions programs and country information provided at the following link:  <https://home.treasury.gov/policy-issues/financial-sanctions/sanctions-programs-and-country-information> | Yes  No |
| Research involves foreign national faculty, visiting scientists or collaborator(s), or other foreign entities (e.g., non-US Company, University or other organization) or foreign national students. | Yes  No |
| This contract includes:   * Restrictions on foreign national participation? * Restriction on publication of results? * Sponsor pre-approval rights over publication? * Reference to proprietary information? * Indications from the sponsor that export controlled information will be furnished for use in the research? | Yes  No  Yes  No  Yes  No  Yes  No  Yes  No |
| If you answered “YES” to any of the questions above, please contact:  Rowan University General Counsel: Rick Kitei  Division of University Research, Office of Research Compliance: Sarah Piddington, Eric Gregory  If your project is awarded, you must submit the “RESTRICTED PARTY LIST AND SCREENING FORM” listing the project name, proposed sponsor, organization’s name and contact information and names of individuals engaged in the project and their citizenship to ensure that individuals and companies are not providing services to any party in the U.S. government export denial, debarment and blocked persons list. | |